

(Read with Regulation 10)

DETAILS OF NON-PERFORMING ASSETS

Name of the Insurer:

Registration No:

(Rs.Crore)

NO	PARTICULARS	Bonds / Debentures		Loans		Other Debt instruments		All Other Assets		TOTAL	
		YTD (As on date)	Prev. FY (As on 31 Mar)	YTD (As on date)	Prev. FY (As on 31 Mar)	YTD (As on date)	Prev. FY (As on 31 Mar)	YTD (As on date)	Prev. FY (As on 31 Mar)	YTD (As on date)	Prev. FY (As on 31 Mar)
1	Investments Assets (As per Form 5)										
2	Gross NPA										
3	% of Gross NPA on Investment Assets (2/1)										
4	Provision made on NPA										
5	Provision as a % of NPA (4/2)										
6	Provision on Standard Assets										
7	Net Investment Assets (1-4)										
8	Net NPA (2-4)										
9	% of Net NPA to Net Investment Assets (8/7)										
10	Write off made during the period										

Certification

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are **within** the exhaustive categories provided in Investment Guidelines as amended from time to time.

Signature:

Full name:

Chief of Finance

Note:

1. The above statement, in the case of 'Life' Insurers shall be prepared 'fund-wise' Viz. Life Fund, Pension & Group Fund, ULIP Fund and at Assets Under Management level also.
2. Total Investment Assets should reconcile with figures shown in Form 3A / 3B
3. Gross NPA is investments classified as NPA, before any provisions
4. Provision made on the 'Standard Assets' shall be as per Circular issued, as amended from time to time.
5. Net Investment assets is net of 'provisions'
6. Net NPA is gross NPAs less provisions
7. Write off as approved by the Board

Name of the Insurer:

Registration No:

FORM - D01

Report Date

DAILY RECONCILIATION OF ULIP PORTFOLIO

Unique Identity Number (UIN)	Name of the Product	Segregated Fund Identification Number (SFIN)	Name of the Fund	Life / Group Policy Admin System					
				Opening Unit Capital (as at the start of the day) (Amount in Rs)	Opening Units (as of the start of the day) (Number of Units)	Net Amount collected or redeemed (net of charges) for the day (Amount in Rs)	Net units allotted or redeemed for the day (Number of Units)	Closing unit capital (as at the end of the day) (Amount in Rs)	Closing units (as at the end of the day) (Number of Units)
				(a)	(b)	(c)	(d)	(e) = (a) + (c)	(f) = (b) + (d)
X	A	XYZ	Fund Name 1						
Y	B								
Z	C								
			Sub total						
L	D	ABC	Fund Name 'n'						
M	E								
					Sub total				

Investment Management System										
SFIN	Name of the Fund	Opening fund Value (as at the start of the day)	Opening units (as at the start of the day) (Number of Units)	Additional fund Value created or redeemed for the day	Additional Units created or redeemed for the day	Investment income for the day (including unrealised gain/loss)	FMC charges deducted for the day	Closing fund Value (as at the end of the day)	Closing Units (as at the end of the day) (Number of units)	NAV per Unit declared
		(g)	(h)	(i)	(j)	(k)	(l)	(m) = (g)+(i)+(k)-(l)	(n) = (h) + (j)	(o) = (m) / (n)
	Fund 1									
	Fund 2									
	Fund 'n'									

NOTES:

1. Opening units as per Life / Group Policy Admin System of previous NAV day [refer (b)] shall reconcile with Opening Units as per Investment Management System [refer (h)]
2. Additional fund or Units created or redeemed for the day in Investment Management System [refer (i) and (j)] shall reconcile with Net Amount or Units collected or redeemed as per Life / Group Policy Admin System [refer (c) and (d)]
3. Closing units as per Life / Group Policy Admin System of previous NAV day [refer (f)] shall reconcile with Closing Units as per Investment Management System [refer (n)]
4. NAV per unit declared [refer (o)] must reconcile with NAV per unit uploaded on Life Insurance council's website
5. The unit movements of day "T" in Life/Group Admin System shall flow into Investment Management System with a maximum time lag of 1 working day i.e T+1

**XYZ Life Insurance
Company Limited
Statement of Product Value**

Registration No:

FORM - D02

Policyholder ID _____

Login Dt

Name of Policyholder _____

Address:

PART - A

Product	UIN	Premium incl. Top-up (Rs)	Premium Allocation Charge (Rs)	Funds Allocated (Rs)
ZXY Premium Plus				

Units as per Policy Admin System (PAS)	Value per Unit (Rs)	Product Value (Rs)

[A]

PART - B

Rs.

Particulars	SFIN.....	SFIN.....	Σ SFIN
	Fund X	Fund Y	Total
Name of the Fund			
Units (as per Investment Management System)			
Percentage of Allocation (as on Login Dt)	%	%	%
Funds Allocated			
Switch In			
Switch Out			
Withdrawals			

Charges

Allocation Charges			
Switch charge			
Policy Administration charge			
Mortality charges			
Other charges (specify)			
Service Tax			
Total amount invested in Segregated funds			
Current NAV			
Fund value as on Login Dt:			

[B]

PART - C (Product Statistics)

Insurance Cover over the interim reporting period from dd/mm/yyyy to dd/mm/yyyy	_____
Total Premium Paid from inception	_____
Total Risk premium from inception, for insurance cover	_____
Total Charges and deductions other than Mortality including Allocation charges till Login Dt. (including Service Charges)	_____
Difference between (A) (B) (unrealised Gain / Loss)	_____
Portfolio value on Net Investment as per Benefit Illustration at the lower rate as prescribed in the regulations	_____
Portfolio value on Net Investment as per Benefit Illustration at the upper rate prescribed in the regulations	_____

NOTE:

1. *Product Portfolio value would be aggregate of all fund values in a product.*
2. *Product value per unit would be arrived at post dividing aggregate fund value by initial units*
3. *Consolidated Product Value would be derived by aggregating the product values and dividing the same by consolidated initial units*
4. *Product Value would be in addition to all the existing disclosures and calculations*
5. *Current prescribed practices shall continue*