

FORM - 2

(Read with Regulation 10)

PART - A

Name of the Insurer:

Registration Number:

Statement as on:

Name of Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
B.	<u>As on Date ²</u>								

CERTIFICATION*Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.*

Date:

Signature

Full Name and Designation

Authorised Signatory

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM - 2

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Name of the Insurer:

Registration No:

PART - B

INVESTMENT ASSETS - RATING PROFILE

Name of the Fund: _____

Rs.Crore

[illegible]

	(4) Investment Property - Immovable																	
	(5) Mutual Fund																	
	(6) Money Market																	
	(7) Net current assets																	
	(8) Others (Specify)																	
iv	Other Investments																	
	(1) Debt instruments																	
	(2) Equity instruments																	
	(3) Loans																	
	(4) Investment Property - Immovable																	
	(5) Mutual Fund																	
	(6) Others (specify)																	
Investment Assets																		

Rs. Cr

Investment in 'Debt' instruments	Book Value (Life, Penison Fund and General Insurers)	Market Value (for ULIP Funds)	%
Investments in Sovereign instruments			
APPROVED INVESTMENTS			
AAA			
AA+ upto AA or Equivalent			
MM, Loans, Others - Approved Invt			
OTHER INVESTMENTS			
AA-,A, lower than A or Equivalent			
Unrated, Loans, Others - Other Invt			

Total Debt Investments
(Column [a] to [g])

Certification

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are **within** the categories provided in Investment Guidelines as amended from time to time.

Signature: _____

Full name:

Authorised Signatory

Note:

- 1 The figures in Col (i) must match (for each type of investment) with Form 3A (Part A)/Form 3B
- 2 Non-Performing investment assets shall be separately shown irrespective of the rating
For Linked business values of
- 3 Investments shall be at Market Value
- 4 Equity shall be as permitted under IRDAI (Investment) Regulations, 2016 as amended from time to time
- 5 FORM - 2 (Part B) shall be prepared in respect of each fund. In case of ULIP Form 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

FORM - 2

(Read with Regulation 10)

Name of the Insurer:

PART - C

Registration No:

INVESTMENT ASSETS & INFRA INVESTMENTS - RATING PROFILE

Name of the Fund _____

SECTION - 1

INVESTMENT ASSETS	Rs Crore	% to Inv. Assets
Central Govt. Sec + Other Approved Securities		
TOTAL (1)		
Approved Investments		
AAA or upto AA or Equivalent		
Equity - Approved Invt		
MM, Loans, Others - Approved Invt		
TOTAL (2)		
Other Investments		
AA-, A, lower than A or Equivalent		
Equity - Other Invt		
Unrated, NPA, Loans, Others - Other Invt		
TOTAL (3)		
TOTAL FUND (1+2+3)		
SECTION - 2		
INFRASTRUCTURE INVESTMENTS		
Approved Investments		
AAA or upto AA or Equivalent		
Equities-Approved		
MM, Loans, Others-Approved Invt		
TOTAL (i)		
Other Investments		
AA-,A, lower than A or Equivalent		
Equities-Other Investments		

Loans, NPAs, Others - Other Invt		
TOTAL (ii)		
Total Infra Investment (i + ii)		

Certification

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are **within** the categories provided in Investment Guidelines as amended from time to time.

Signature: _____

Full name: _____

Authorised Signatory _____

Note:

1. The figures must match (for each type of investment) with Form 3A (Part A)/Form 3B
2. FORM - 2 (Part C) shall be prepared in respect of life fund